

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
03226/296001In Re Application Of: **Germano CARONNI**Serial No.  
10/675,667Filing Date  
September 30, 2003

Examiner

Group Art Unit

Title: **METHOD AND APPARATUS FOR RE-ENCRYPTING DATA IN A TRANSACTION-BASED SECURE STORAGE SYSTEM**Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(q))

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**METHOD AND APPARATUS FOR RE-ENCRYPTING DATA IN A TRANSACTION-BASED  
SECURE  
STORAGE SYSTEM****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***I certify that this document and authorization to charge deposit  
account is being facsimile transmitted to the United States  
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**Certificate of Mailing by First Class Mail**I certify that this document and fee is being deposited  
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as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by  
deposit account.Dated: **1/6/04**

*Jonathan P. Osha*  
Signature  
**Jonathan P. Osha, Reg. No. 33,986**  
**ROSENTHAL & OSHA L.L.P.**  
**1221 McKinney Street, Suite 2800**  
**Houston, Texas 77010**

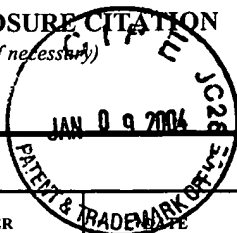
Telephone: 713/228-8600

Facsimile: 713/228-8778

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

03226/296001

Application Number

10/675,667

Applicant(s)

Germano CARONNI

Filing Date

September 30, 2003

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A1	G. Cattaneo, et al.; "The Design and Implementation of a Transparent Cryptographic Filesystem for UNIX"; USENIX Annual Technical Conference 2001 - Freenix Track; June 29, 2001; 14 pgs.
	A2	P. Braam; "The CODA Distributed File System"; www.coda.cs.cmu.edu/ljpaper/lj.html; May 2000

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.